

TO ALL TO WHOM THESE PRESENTS SHAML COME:

# Iacob Wartz Seed Company, Inc.

COLLICERS, THERE HAS BEEN PRESENTED TO THE

### Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED NOVEL VARIETY OF SEXUALLY REPRODUCED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLI-CANT(S) FOR THE TERM OF eighteen YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EX-LUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, IMPORTING IT, OR EXPORTING IT, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT ETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT T. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'Hartz 5164'

In Testimony Whereof, I have hereunto set my hand and caused the seal of the Blant Variety Protection Office to be affixed at the City of Washington, D. C. July the year of our Lord one thousand nine hundred and eighty-eight.

Variety Protection Office

ral Marketing Gervici

U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE  APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE  (Instructions on reverse)				FORM APPROVED: OMB NO, 0581-0065 Application is required in order to determine if a plant variety protection certificats is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).			
1. NAME OF APPLICANT(S)		2. TEMPORARY DESIGNATION	3. V	ARIETY NAME			
JACOB HARTZ SEED CO., INC.	н80~18048		HARTZ 5164				
4. ADDRESS (Street and No. or R.F.D. No., City, S	State, and Zip Code)	5. PHONE (Include area code)		FOR OFFICIAL USE ONLY			
P.O. BOX 946 STUTTGART, AR 72160		(501)673-8565	PVPC	8700204			
6. GENUS AND SPECIES NAME	7. FAMILY NA	ME (Botanical)	1_	DATE 4 / 1/1007			
GLYCINE MAX	LEGUMIN	OSEA	FILING	Legtember 14, 1987 TIME 9:45 MAM. □P.M.			
8. KIND NAME	. 9.	DATE OF DETERMINATION	+	AMOUNT FOR FILING			
SOYBEAN	1985			\$ 180000 DATE September 14,1987			
10. IF THE APPLICANT NAMED IS NOT A 'PERS partnership, association, etc.)  CORPORATION	SON," GIVE FORM	OF ORGANIZATION (Corporation	FEES RECEIVED	\$200 CD			
		·		May 23 1988			
11. IF INCORPORATED, GIVE STATE OF INCOR DELAWARE	PORATION		12. [	DATE OF INCORPORATION			
<ul> <li>a.</li></ul>	icty (Request form ariety. plicant's Ownershi ED OF THIS VARI	from Plant Variety Protection Off	ice.) IE ONL	Y AS A CLASS OF CERTIFIED			
16. DOES THE APPLICANT(S) SPECIFY THAT TH	IS VARIETY BE	17. IF "YES" TO ITEM 16,	WHICH	CLASSES OF PRODUCTION			
LIMITED AS TO NUMBER OF GENERATIONS	57	BEYOND BREEDER SE	r	egistered X Certified			
18. DID THE APPLICANT(S) PREVIOUSLY FIL	E FOR PROTECT	M Foundation		Yes (If "Yes," give date)			
19. HAS THE VARIETY BEEN RELEASED, OFFI	·	OR MARKETED IN THE U.S. O	Э ОТНЕ	R COUNTRIES ?  Yes (If "Yes," give names of countries and dates)  No			
20. The applicant(s) declare(s) that a viable san plenished upon request in accordance with The undersigned applicant(s) is (are) the ov distinct, uniform, and stable as required in Variety Protection Act. Applicant(s) is (are) informed that false rep	such regulations a wner(s) of this sex Section 41, and i	as may be applicable.  Tually reproduced novel plant vise entitled to protection under the	riety, a ne provi	and believe(s) that the variety is issions of Section 42 of the Plant			
SIGNATURE OF APPLICANT				ATE			
autis Williams				august 28,1987			
Lames TWilleke			0	august 28,1987 lept 5, 1987			

FORM LS-470 (3-86)

### EXHIBIT A

### ORIGIN AND BREEDING HISTORY

'HARTZ 5164' was developed from the cross 'BEDFORD' x F1( 'D70-3115' x 'X37-3-16'). The original cross was made at Stuttgart, Arkansas. D70-3115 is a sister line of 'Centennial' developed at Stoneville, MS. X37-3-16 is R74-2647 (2) x (F1 D68-18 x PI 88.788) selected at Fayetteville, AR. Three seeds were picked from each plant in the F2-F4 generations. A single F6 plant row was bulk harvested at Stuttgart in 1980. It was yield tested in Hartz Seed Company Tests in 1981-1987 and in University Soybean Strains Tests as H80-18048 in 1985. It was performance tested in most Southern States Official Soybean Variety Tests in 1986 and 1987 as HARTZ X5164 and HARTZ 5164, respectively.

HARTZ 5164 was screened for resistance to <u>Heterodera glycine</u> race 3 and 4, <u>Phytophthora megasperma</u> var. <u>sojae</u>, <u>Rotylenchulus reniformis</u>, <u>Meloidogyne incognita</u>, <u>Xanthomonas phaseoli</u> var. <u>sojensis</u>, and <u>Septoria glycines</u> at Stuttgart. It was screened for reaction to <u>Diaporthe phaseolorum</u> var. <u>caulivora</u> at the Texas A & M University Research and Extension Center at Beaumont, Texas. Seed coat peroxidase activity, determined at Stuttgart, is high.

EVIDENCE OF STABILITY - HARTZ 5164 has bred true in multiple screenings for disease reaction and for major morphological characters through three years of seed increase.

<u>KINDS</u> OF <u>VARIANTS</u> - As many as 6 seeds per pound may be present that produce purple flowers and purple hypocotyls. The 6 seeds may have either black or other color hila. Pubescent color of the 6 plants may be either tawny or gray.

## EXHIBIT B

### NOVELTY STATEMENT

'HARTZ 5164' is most similar to 'FORREST', but Hartz 5164 is resistant to the soybean cyst nematode race 4 while Forrest is susceptible to race 4.

EXHIBIT C (Soybean)

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
LIVESTOCK, MEAT, GRAIN & SEED DIVISION
PLANT VARIETY PROTECTION OFFICE
BELTSVILLE, MARYLAND 20705

# OBJECTIVE DESCRIPTION OF VARIETY SOYBEAN (Glycine max L.)

SOYBL	EAN (Glycine max L.)	
NAME OF APPLICANT(S)	TEMPORARY DESIGNATION	N VARIETY NAME
JACOB HARTZ SEED CO., INC.	H80-18048	HARTZ 5164
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Co	ode)	FOR OFFICIAL USE ONLY
P.O. BOX 946		PVPO NUMBER -
STUTTGART, AR 72160		8700204
Choose the appropriate response which characterizes the vin your answer is fewer than the number of boxes provided Starred characters ** are considered fundamental to an adequive the information is available.	i, place a zero in the first box	when number is 9 or less (e.g., 0 9).
1. SEED SHAPE:    L     W	T T 2 = Spherical Flattene	d (L/W ratio > 1.2; L/T ratio = < 1.2) d (L/T ratio > 1.2; T/W > 1.2)
2. SEED COAT COLOR: (Mature Seed)		7 .
1 1 = Yellow 2 = Green 3 = Brown	4 = Black 5 = Othe	r (Specify)
3. SEED COAT LUSTER: (Mature Hand Shelled Seed)	and the second s	
2 1 = Dull ('Corsoy 79'; 'Braxton') 2 = Shiny ('Nebs	soy'; 'Gasoy 17')	
4. SEED SIZE: (Mature Seed)		
1 4 Grams per 100 seeds		and the second of the second o
5. HILUM COLOR: (Mature Seed)		
6 1 = Buff 2 = Yellow 3 = Brown	4 = Gray 5 = Imperfect B	lack 6 = Black 7 = Other (Specify)
6. COTYLEDON COLOR: (Mature Seed)		
1 1 = Yellow 2 = Green		
7. SEED PROTEIN PEROXIDASE ACTIVITY:		
2 1 = Low 2 = High		
8, SEED PROTEIN ELECTROPHORETIC BAND:		
1 = Type A (SP1 <sup>a</sup> ) 2 = Type B (SP1 <sup>b</sup> )		
9. HYPOCOTYL COLOR:	· · · · · · · · · · · · · · · · · · ·	
1 = Green only ('Evans'; 'Davis') 2 = Green wit 3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71') 4 = Dark Purple extending to unifoliate leaves ('Hodgson';		('Woodworth'; 'Tracy')
IO. LEAFLET SHAPE:		
3 = Ovate	4 = Other <i>(Specify)</i>	

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(Edition of 2-82 is obsolete.)

-	11. L	EAF	LET SIZE:	
i iqu	[	2	1 = Small ('Amsoy 71'; 'A5312') 2 = Medium ('Corsoy 79'; 3 = Large ('Crawford'; 'Tracy')	
<u> </u>			and the second of the second o	en de la composition de la composition La composition de la
	12. L	EAF	COLOR:	
	ſ		1 = Light Green ('Weber'; 'York') 2 = Medium Green ('Corso	py <b>79'; 'Braxton')</b>
	L	<u> </u>	3 = Dark Green ('Gnome'; 'Tracy')	en e
* 1	3. F	LOW	ER COLOR:	
ž.,		1	1 = White 2 = Purple 3 = White with purple throat	en de la companya de La companya de la co
<b>★</b> 1	4. P	OD C	OLOR:	
181		1	1 = Tan 2 = Brown 3 = Black	
<b>★</b> 1	5. PI	.AN	PUBESCENCE COLOR:	
	[	2	1 = Gray 2 = Brown (Tawny)	
1	6. PL	ANT	TYPES:	The State of the S
		2	1 = Slender ('Essex'; 'Amsoy 71') 2 = Intermediate ('Amcor'; 3 = Bushy ('Gnome'; 'Govan')	; 'Braxton')
, <u> </u>	7. PL	ANT	HABIT:	
		1	1 = Determinate ('Gnome'; 'Braxton') 2 = Semi-Determinate ('Wil 3 = Indeterminate ('Nebsoy'; 'Improved Pelican')	ll')
<b>K</b> _18	3. M/	ATUF	RITY GROUP:	
	0	8	1 = 000 2 = 00 3 = 0 4 = I 5 = II 9 = VI 10 = VII 11 = VIII 12 = IX 13 = X	6 = III
. (S)				
<b>R</b> 19			SE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)  ERIAL DISEASES:	
*	2		Bacterial Pustule (Xanthomonas phaseoli var. sojensis)	
*			Bacterial Blight (Pseudomonas glycinea)	
*	C		Wildfire (Pseudomonas tabaci)	and the second of the second o
	FU	ــــا NGA	L DISEASES:	
*			Brown Spot (Septoria glycines)	
	٠.		Frogeye Leaf Spot (Cercospora sojina)	en e
*			Race 1 Race 2 Race 3 Race 4	Race 5 Other (Specify)
	0		Target Spot (Corynespora cassiicola)	<del></del>
	1		Downy Mildew (Peronospora třífoliorum var. manshurica)	
			Powdery Mildew (Microsphaera diffusa)	
*	0		Brown Stem Rot (Cephalosporium gregatum)	
	<u> </u>	7	Stem Canker (Diaporthe phaseolorum var. caulivora)	For

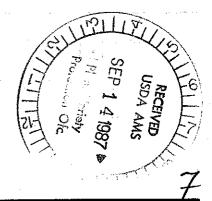
19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2	= Resistant) - (Continued)
FUNGAL DISEASES: (Continued)	
Pod and Stem Blight (Diaporthe phaseolorum var; sojae)	
()0 Purple Seed Stain (Cercospora kikuchii)	
Rhizoctonia Root Rot (Rhizoctonia solani)	
Phytophthora Rot (Phytophthora megasperma var. sojae)	<u></u>
★ 1 Race 1 1 Race 2 1 Race 3 1	Race 4 Race 5 Race 6 1 Race 7
Race 8 Race 9 Other (Specify)	
VIRAL DISEASES:	
0 Bud Blight (Tobacco Ringspot Virus)	
O Yellow Mosaic (Bean Yellow Mosaic Virus)	
Cowpea Mosaic (Cowpea Chlorotic Virus)	
O Pod Mottle (Bean Pod Mottle Virus)	
★ 0 Seed Mottle (Soybean Mosaic Virus)	
NEMATODE DISEASES:	
Soybean Cyst Nematode (Heterodera glycines)	
★ 1 Race 1 0 Race 2 2 Race 3 2	Race 4 Other (Specify)
Lance Nematode (Hoplolaimus Colombus)	
★ 2 Southern Root Knot Nematode (Meloidogyne incognita)	
Northern Root Knot Nematode (Meloidogyne Hapla)	
1 Peanut Root Knot Nematode (Meloidogyne arenaria)	
Reniform Nematode (Rotylenchulus reniformis)	
OTHER DISEASE NOT ON FORM (Specify):	
Land	
20. PHYSIOLOGICAL RESPONSES: (Enter 0 = Not Tested; 1 = Suscep	rtible; 2 = Resistant)
★ Iron Chlorosis on Calcareous Soil	
Other (Specify)	
21. INSECT REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Re	
Mexican Bean Beetle (Epilachna varivestis)	
Potato Leaf Hopper (Empoasca fabae)	and the second of the second o
Other (Specify)	
22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THA	T SUBMITTED.
CHARACTER NAME OF VARIETY	CHARACTER NAME OF VARIETY
Plant Shape	Seed Coat Luster
Leaf Shape	Seed Size
Leaf Color	Seed Shape
Leaf Size	Seedling Pigmentation
经交通帐户 化二甲二甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基	Carlo Ca

### 23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

VARIETY	NO. OF PLANT DAYS LODGING		CM PLANT	LEAFLET SIZÉ		SEED CONTENT		SEED SIZE G/100	NO. SEEDS/
	MATURITY	SCORE	HEIGHT	CM Width	CM Length	% Protein	% Oil	SEEDS	POD
HARTZ 5164 Submitted	2-YR x				l Special de				
Submitted	124	2.0	76			41.1	22.0	14.3	2-3
FORREST Name of Similar Variety	121	1.7	74	ing the second s		40.8	21.8	12.2	2-3

#### PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

- 1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
- 2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
- 3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A2 in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
- 4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.



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FLUOSON

### EXHIBIT E

### BASIS OF APPLICANTS OWNERSHIP

Jacob Hartz Seed Company, Incorporated, Stuttgart, Arkansas established a Plant Breeding Program in 1972 for the purpose of developing, releasing, and maintaining stocks of soybean varieties developed by its Plant Breeding Program.

Dr. Curtis Williams, Plant Breeder, was licensed to breed soybeans by the Arkansas State Plant Board, December 9, 1977. Dr. Williams and coworkers developed and tested this variety in trials at Stuttgart, Arkansas, and outlying locations.

On April 23, 1983, Jacob Hartz Seed Company, Inc., was purchased by HybriTech Seed International, Inc., a wholly owned subsidiary of Monsanto, St. Louis, Missouri. Jacob Hartz Seed Company, Inc., was originally incorporated in 1948 in the State of Arkansas. In 1984 Jacob Hartz Seed Company, Inc. merged with the Monsanto-West Africa, Inc., a Delaware Corporation. Jacob Hartz Seed Company, Inc., is the present name of the merged corporation which is a Delaware corporation.